

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s): Du et al.

Docket No.

UCT-0042

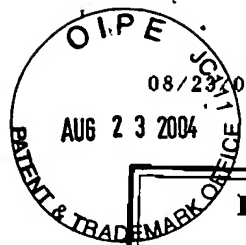
Application No.
10/820,167Filing Date
April 6, 2004Examiner
NYAGroup Art Unit
1746Invention: **SOLID STATE ELECTROCHEMICAL DEVICES**I hereby certify that this Resp to file Miss Parts (3 pgs); Notice to file Miss parts (2 pgs); Dec(3 pgs)
(Identify type of correspondence)is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-746-4060)on August 23, 2004
(Date)Amanda M. Douville

(Typed or Printed Name of Person Signing Certificate)

Amanda M. Douville
(Signature)

Note: Each paper must have its own certificate of mailing.

RECEIVED
AUG 24 2004
OIP/ JCWS



08/23/04

15:45

8602860115

CANTOR COLBURN L

002/009

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**Docket No.
UCT-0042

In Re Application Of: Du et al.

Application No.
10/820,167Filing Date
April 6, 2004Examiner
NYACustomer No.
23413Group Art Unit
1746Confirmation No.
7021Invention: **SOLID STATE ELECTROCHEMICAL DEVICES**Mail Stop Missing PartsCOMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
June 22, 2004
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
- ☐ is/are attached.
- ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):



08/23/04

15:45

8602860115

CANTOR COLBURN L

003/009

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**Docket No.
UCT-0042

In Re Application Of: Du et al.

Application No.
10/820,167Filing Date
April 6, 2004Examiner
NYACustomer No.
23413Group Art Unit
1746Confirmation No.
7021Invention: **SOLID STATE ELECTROCHEMICAL DEVICES****TO THE COMMISSIONER FOR PATENTS:****Mail Stop Missing Parts**☒ Completion of application fees as calculated below:☒ Utility application filing fee\$385.00☐ Design application filing fee☒ Total number of independent claims = 1☒ Total number of claims = 25\$45.00☐ Multiple dependent claims☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath\$65.00☐ Petition and fee for filing by other than all the inventors or a person not the inventor☐ Fee for processing an application filed with a non-English language specification☐ Fee for processing and retention of application

Total completion of application fees

\$495.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month☐ Two months☐ Three months☐ Four months☐ Five months

from:

until:

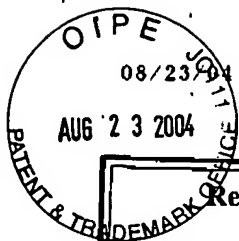
Date

Date

Total time extension fees

Total fees due

\$495.00



08/23/04

15:45

8602860115

CANTOR COLBURN L

004/009

BEST AVAILABLE COPY

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**Docket No.
UCT-0042

In Re Application Of: Du et al.

Application No.
10/820,167Filing Date
April 6, 2004Examiner
NYACustomer No.
23413Group Art Unit
1746Confirmation No.
7021Invention: **SOLID STATE ELECTROCHEMICAL DEVICES****TO THE COMMISSIONER FOR PATENTS:****Mail Stop Missing Parts**

The fee of \$495.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 06-1130
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 06-1130
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**David E. Rodrigues**
Registration No. 50,604
(860) 286-2929

Dated: August 23, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CF, 1.8(a)] on 8/23/04
via facsimile (Date) 08/23/04 1416-4000

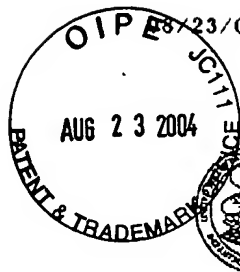
Signature of Person Mailing Correspondence

Amanda M. Douville

Typed or Printed Name of Person Mailing Correspondence

CC:

(Form 2 of 2)



8/23/04

15:46

8602860115

CANTOR COLBURN L

005/009

Page 1 of 2

BEST AVAILABLE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/820,167 | 04/06/2004 | Yanhai Du | UCT-0042 |

CANTOR COLBURN LLP
55 Griffin Road South
Bloomfield, CT 06002

CONFIRMATION NO. 7021

FORMALITIES LETTER

OC000000013022260

Date Mailed: 06/22/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$45 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

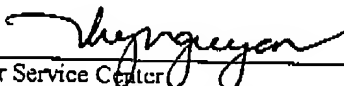
Total additional fee(s) required for this application is \$495 for a Small Entity

- | | |
|--|---|
| • \$385 Statutory basic filing fee. | 08/24/2004 SSESHE1 00000146 061130 10820167 |
| • \$65 Late oath or declaration Surcharge. | |
| • Total additional claim fee(s) for this application is \$45 | 01 FC:2001 385.00 DA |
| | 02 FC:2202 45.00 DA |
| | 03 FC:2051 65.00 DA |
| • \$45 for 5 total claims over 20. | |

BEST AVAILABLE COPY

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE